

Annex 3

Sample application form

1. CONTACT DETAILS

Indicate the name and address of the owner and manager of the captive-breeding operation.

Name of owner:		
Name of manager (if different from owner):		
Name of captive-breeding operation:		
Street and number:		
City:	Postcode:	State / province:
Country:		
Tel.:	Fax:	Email:
Website:		

2. DATE OF ESTABLISHMENT:

3. SPECIES BRED

Indicate the Appendix-I species proposed for registration.

Scientific name	Common name (if applicable)

4. PARENTAL BREEDING STOCK

Indicate the numbers and ages (if known or appropriate) of males and females that comprise the parental breeding stock.

Species	Name of specimen (if applicable)	Identification number of specimen (band, tag, microchip. etc.)	Sex	Age (if known or appropriate)
Total number(s):				

5. PROOF OF LEGAL ACQUISITION

Provide evidence that the parental stock has been obtained in accordance with relevant national measures and the provisions of the Convention (e.g. dated capture permits or receipts, CITES documents, etc.).

(Attach copies of supporting documents to the application form)

6. OTHER STOCK

Indicate the current stock (numbers, by sex and age, held in addition to the parental breeding stock above).

Species (only list those proposed for registration)	Name of specimen (if applicable)	Identification number of specimen (band, tag, microchip. etc.)	Sex	Age
Total number(s):				

7. MORTALITY RATE

Provide information on the mortality rate, if possible reported by age and sex.

Year	Mortality rate (%)	Age or age group	Sex

8. REPRODUCTION

Provide documentation showing either:

a) that the operation has bred at least two generations of the species and a description of the method used; or	
b) if the operation has only bred one generation of the species, that the husbandry methods used are the same as, or similar to, those that have resulted in second-generation offspring in other operations.	

(Attach supporting documentation to the application form as necessary)

9. ANNUAL PRODUCTION

Indicate the past, current and expected annual production of offspring and, where possible, information on:

- a) the number of females producing offspring each year; and
- b) unusual fluctuations in the annual production of offspring (including an explanation of the probable cause).

Year	Number of offspring (including expected annual production)	Number of females producing offspring	Explanation for unusual fluctuations

10. NEED FOR ADDITIONAL SPECIMENS

Provide an assessment of the anticipated need for, and source of, additional specimens to augment the breeding stock to increase the genetic pool of the captive population in order to avoid any deleterious inbreeding.	
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11. TYPE OF PRODUCT EXPORTED

Indicate the type of product exported (e.g. live specimens, skins, hides, other body parts, etc.).	
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12. MARKING METHODS

Describe in detail the marking methods (e.g. bands, tags, transponders, branding, etc.) used for the breeding stock and offspring and for the types of specimens (e.g. skins, meat, live animals, etc.) that will be exported.

Specimens	Marking methods
Breeding stock	
Offspring	
Exported specimen (one line per type of specimen)	

13. Inspection and monitoring procedures

Describe the inspection and monitoring procedures to be used by the CITES Management Authority to confirm the identity of the breeding stock and offspring and to detect the presence of unauthorized specimens held at or exported by the operation, or being exported.	
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14. FACILITIES

Describe the facilities to house the current and expected captive stock, including security measures to prevent escapes and/or thefts. Provide detailed information on the number and size of breeding and rearing enclosures, tanks, ponds, egg incubation capacity, food production or supply, availability of veterinary services and record-keeping.

Facilities to house the current and expected captive stock	
Security measures	
Number and size of breeding and rearing enclosures, tanks or ponds	
Egg incubation capacity (if applicable)	
Food production or supply	
Availability of veterinary services	
Record-keeping	

15. CONSERVATION

Describe the strategies used or activities conducted by the breeding operation to contribute to the conservation of wild population(s) of the species.	
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16. ANIMAL TREATMENT

Describe how the operation is carried out at all stages to ensure that animals are treated in a humane (non-cruel) manner.	
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** Amended at the 13th, 14th and 15th meetings of the Conference of the Parties.*